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ARIZONA CORPORATION COMM

RECEIVED

ORIGINAL

In the matter of

JUL -6 P 1:37

DOCKET NO. S-20684A-09-0281

SBA App # 1004804

RBC CAPITAL MARKETS
CORPORATION**MOTION TO APPEAR
PRO HAC VICE**

RBC Capital Markets Corporation ("RBC"), through undersigned counsel Laura M. Kam of DLA Piper LLP (US), respectfully moves the Arizona Corporation Commission for the admission of Joshua R. Pater of Milbank, Tweed, Hadley & McCloy LLP to participate pro hac vice as co-counsel for RBC in the above-entitled action. In support of this motion, the undersigned states:

1. Mr. Pater is a member in good standing of the New York State Bar and has been licensed to practice in that jurisdiction since 2006. Mr. Pater is also a member in good standing of the U.S.D.C., Southern District of New York and U.S.D.C., Eastern District of New York.

2. Mr. Pater is not now, nor has he ever been, under suspension or subject to any other disciplinary action with respect to the practice of law.

Originals of Mr. Pater's Notice of Receipt of Complete Application, Application for Appearance Pro Hac Vice, and certificate of good standing from the Appellate Division of the Supreme Court of the State of New York, First Judicial Department is attached hereto as Exhibit A.

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Arizona Corporation Commission

DOCKETED

JUL -6 2009

DOCKETED BY

1
2 RESPECTFULLY SUBMITTED this 6th day of July, 2009.

3 DLA PIPER LLP (US)

4 By 

5 Laura M. Kam (AZ Bar No. 025918)

6 laura.kam@dlapiper.com

7 DLA PIPER LLP (US)

8 2525 East Camelback Road, Suite 1000
9 Phoenix, AZ 85016-4232

10 *Attorneys for RBC Capital Markets
11 Corporation*

12 **ORIGINAL** and 13 copies of the
13 foregoing filed this 6th day of
14 July, 2009 with:

15 Arizona Corporation Commission
16 1200 West Washington
17 Phoenix, AZ 85007-2996

18 **COPY** of the foregoing mailed
19 this 6th day of July, 2009 to:

20 Joshua R. Pater
21 Milbank, Tweed, Hadley & McCloy LLP
22 1 Chase Manhattan Plaza
23 New York, NY 10005-1413

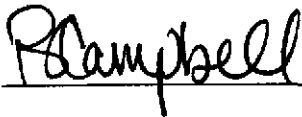
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EXHIBIT A

1 **Arizona Corporation Commission**

2
3 In the matter of RBC)

4 Capital Markets Corporation)

) CASE # 20684A-09-0281

5)
6) SBA App # 1004804
7)

8 **NOTICE OF RECEIPT OF
COMPLETE APPLICATION**

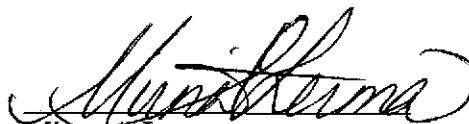
9 NOTICE IS HEREBY given by THE STATE BAR OF ARIZONA that it has
10 received the verified application and fee from Joshua Pater.

11 In addition to this application, applicant has made the following applications to appear
12 pro hac vice, pursuant to Rule38 (a), within the previous three (3) years:

Title of Matter	Court/Agency	Date	Granted?	
			<input type="checkbox"/>	<input type="checkbox"/>
			Yes	No
			<input type="checkbox"/>	<input type="checkbox"/>
			Yes	No

15 Exhibit A, the original verified application and Exhibit B, the original Certificate(s) of
16 Good Standing are attached hereto.

17 DATED this 1st day of July 2009

18 
19 Mirna A Lerma
20 Resource Center
State Bar of Arizona

21 Original Mailed on this 1st day of July 2009 to:

22 Ms Laura Kam
23 DLA Piper LLP
24 2525 E Camelback Rd Suite 1000
25 Phoenix, AZ 85016-4232



Attn: Pro Hac Vice Dept
PO Box 53099
Phoenix, AZ 85072-3099
Phone: 602-340-7239

For Official Use Only
App# 1004804
Bar Number# P17754

Application for Appearance Pro Hac Vice

PART I: Applicant Information

Name of Applicant: Joshua R. Pater

Firm/Company Name: Milbank, Tweed, Hadley & McCloy LLP

Office Address: 1 Chase Manhattan Pl., New York, NY 10005

Telephone: 212.530.5000 Fax: 212.530.5219 Email Address: jpater@milbank.com

Residence Address: _____

Title of cause or case where applicant seeks to appear: In the matter of RBC Capital Markets Corporation

Docket Number: S-20684A-09-0281

Court, Board, or Administrative Agency: Arizona Corporation Commission

Party on whose behalf applicant seeks to appear: RBC Capital Markets Corp.

Pursuant to Arizona Supreme Court Rule 38(i)(3), the applicant shall complete the information below:

Courts to Which Applicant Has Been Admitted: (Attach additional pages if needed)	Date of Admission:	Bar Number:
<u>New York, First Department</u>	<u>2/22/06</u>	<u>4392551</u>
<u>U.S.D.C., Southern District of New York</u>	<u>5/16/06</u>	<u>JP 1302</u>
<u>U.S.D.C., Eastern District of New York</u>	<u>6/6/06</u>	_____
_____	_____	_____

☒ Applicant is a member in good standing in such courts.

☒ Applicant is not currently disbarred or suspended in any court.

Applicant ☐ is / ☒ is not (select one) currently subject to any pending disciplinary proceeding or investigation by any court, agency or organization authorized to discipline attorneys at law.

In the preceding three (3) years, applicant has filed applications to appear as counsel under AZ ST S.Ct., Rule 38(a) in the following:

Title of Matter:	Docket #:	Court or Agency:	App Granted? (Y/N)
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

This case or cause ☐ is / ☒ is not (select one) a related or consolidated matter for which applicant has previously applied to appear pro hac vice in Arizona. If this matter is a related or consolidated with any previous application, Applicant certifies that he/she will review and comply with appropriate rules of procedure as required in the underlying cause.

If applicable, please provide related or consolidated matter application or docket# _____

PART II: Local Counsel Information

Name of Arizona Local Counsel: Laura M. Kam.

State Bar of Arizona Number: 025918

Address: DLA Piper LLP; 2525 East Camelback Road; Suite 1000; Phoenix, AZ 85016-4232

Telephone: 480.606.5118 Fax: 480.606.5518 Email Address: laura.kam@dlapiper.com

☒ Local Counsel is a member in good standing.

☒ Local Counsel associating with a nonresident attorney in a particular cause shall accept joint responsibility with the nonresident attorney to the client, to opposing parties and counsel, and to court, board, or administrative agency in that particular cause.

PART III: Parties and Certification

Name(s) of each party in this cause and name and address of all counsel of record:

Party:	Counsel of Record:	Address:
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

☒ Applicant is including with this application a nonrefundable application fee, payable to the State Bar of Arizona, in the amount of \$460.00. Fifteen percent of the non-refundable application fee paid pursuant to this section shall be deposited into a civil legal services fund to be distributed by the Arizona Foundation for Legal Services and Education entirely to approved legal services organizations, as that term is defined in subparagraph (f) of this rule.

☒ Applicant is furnishing a certificate from the state bar or from the clerk of the highest admitting court of each state, territory, or insular possession of the United States in which the nonresident attorney has been admitted to practice law certifying the nonresident attorney's date of admission to such jurisdiction and the current status of the nonresident attorney's membership or eligibility to practice therein. The certificate furnished shall be no more than forty-five (45) days old.

Applicant certifies the following:

1. Applicant shall be subject to the jurisdiction of the courts and agencies of the State of Arizona and to the State Bar of Arizona with respect to the law of this state governing the conduct of attorneys to the same extent as an active member of the State Bar of Arizona, as provided in Rule 46(b) Rules of the Supreme Court.
2. Applicant will review and comply with appropriate rules of procedure as required in the underlying cause.
3. Applicant understands and shall comply with the standards of conduct required of members of the State Bar of Arizona.

Verification

STATE OF New York

County of New York ss.

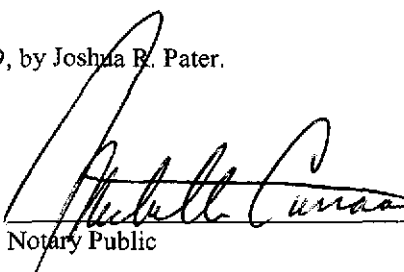
I, Joshua R. Pater, swear that all statements in the application are true, correct and complete to the best of my knowledge and belief.

Dated: June 25, 2009

Applicant's Signature: 

SUBSCRIBED AND SWORN TO before me this 25th day of June, 2009, by Joshua R. Pater.

MICHELLE G. CURRAO
NOTARY PUBLIC, STATE OF NEW YORK
NO. 01CU5069945
QUALIFIED IN RICHMOND COUNTY
COMMISSION EXPIRES DECEMBER 09, 2010


Notary Public

Appellate Division of the Supreme Court
of the State of New York
First Judicial Department

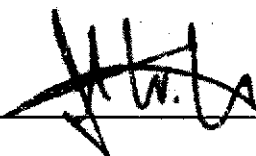
I, John M. McConnell, Clerk of the Appellate Division of the Supreme Court of the State of New York, First Judicial Department, certify that

JOSHUA RAY PATER

was duly licensed and admitted to practice as an Attorney and Counsellor at Law in all the courts of the State of New York on February 22, 2006, has duly taken and subscribed the oath of office prescribed by law, has been enrolled in the Roll of Attorneys and Counsellors at Law on file in my office, has duly registered with the administrative office of the courts, and according to the records of this court is in good standing as an attorney and counsellor at law.

In Witness Whereof, I have hereunto set my
hand and affixed the seal of this court on

June 18, 2009



Clerk of the Court